Page 1 of 1

COMMISSIONER FOR PA UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/034,699

12/27/2001

James C. Matayabas JR.

42390P10938X

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

CONFIRMATION NO. 2487

FORMALITIES LETTER

OC000000007365226*

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS **ORIGINALLY FILED**

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/06/2002 NBERHE

00000115 10034699

01 FC:105

130.00 DP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JAMES C. MATAYABAS, JR.

Application No.: 10/034,699

Filed:

December 27, 2001

For:

CHAIN EXTENSION FOR THERMAL MATERIALS

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

COPY OF PAPERS ORIGINALLY FILED

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed January 25, 2002, please find enclosed:

- a duly executed Declaration and Power of Attorney and payment in the amount of \$130.00 for the surcharge of 37 CFR § 1.16(e);

and

- copy of the Notice to file Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

February 15, 2002

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

Ronald S. Tamura, Reg. No. 43,179

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts,

Washington, D.C. 20231 on: February 15, 2002.

02/15/02

Laura R. Robles

Date

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No.

Filing Date

TRANSMITTAL FORM

10/034,699

December 27, 2001

(to be used for all correspondence after	r initial filing)	First Named Inventor	James C. Matayabas, Jr.							
		Group Art Unit	1714							
		Examiner Name	Unassigned							
Total Number of Pages in This Submissi	on 8	Attorney Docket Number 42390P10938X								
ENCLOSURES (check all that apply)										
Fee Transmittal Form	Assignmen (for an App	t Papers lication)	After Allowance Communication to Group							
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences							
Amendment / Response	Licensing-r	related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)							
After Final Affidavits/declaration(s)	Petition		Proprietary Information							
Extension of Time Request	Petition to Provisional	Convert a Application	Status Letter							
Express Abandonment Request	Power of A Change of	ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):							
Information Disclosure Statement	Terminal D	isclaimer	Declaration and Power of Attorney; cc of Notice to File							
Certified Copy of Priority Document(s)	Request fo	r Refund	Missing Parts.							
Response to Missing Parts/ Incomplete Application	CD, Numbe	er of CD(s)								
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	COPY OF PAPERS ORIGINALLY FILED								
·										
SIGNATUR	E OF APPLICAI	NT, ATTORNEY, OR AG	SENT							
Firm Ronald S. Tame or Individual name BLAKELY, S	, 0	13,179 TAYLOR & ZAFM	AN LLP							
Signature Polyada	1. Januar									
Date February 15, 2	2002									
CERTIFICATE OF MAILING/TRANSMISSION										
I hereby certify that this correspondence is b postage in an envelope addressed to: Assist										
Typed or printed name Laura R. Ro	bles									
Signature	NU	6	Date February 15, 2002							

02/15/02

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE cition Act of 1995, no persecution are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork F

(\$)

FEE TRANSMITTA for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Signature

1	30.00	
•		

y (Complete if Known					
Application Number	10/034,699					
Filing Date	December 27, 2001					
First Named Inventor	James C. Matayabas, Jr.					
Examiner Name	Unassigned					
Group/Art Unit	1714					
Attorney Docket No.	42390P10938X					

-	METHO	D OF	PAYMENT	(check one)		FEE CALCULATION (continued)						
☑ Check	neck Credit card Money Other None 3. ADDITIONAL FEES												
Deposit A		a Caro	Order	□ Other	☐ None	Large	e Entity	Sma	ll Entity	•			
						Fee Code	Fee 40	Fee Code	Fee	_			
Deposit Account			02-2666	5			(\$)		(5)		Description		Fee Paid
Number Deposit						105 127	130 50	205 227	65 25	Surcharge - late filing to Surcharge - late provise			130.00
Account Name	Blake	ly, Sok	oloff, Taylo	or & Zafmaı	n LLP					cover sheet.			
	ioner is au	thorized t	o: (check all that	annly)		139 147	130 2,520	139 147	130 2,520	Non-English specificat For filing a request for		ition	
	e(s) indicate		·	redit any overpayr	nents	112	920 *	112	920 1	Requesting publication	of SIR prior to		
_	ny additiona	l fee(s) du	ring the pendency			113	1,840*	113	1 040 '	Examiner action Requesting publication	of SID offer		
☐ Charge fe	e(s) indicate	ed below, o	except for the fill	ng fee		113	1,040	''3	1,040	Examiner action	I OI SIR aller		
	ove-identifie					115	110	215	55	Extension for reply with	nin first month		
	•		ALCULATIO	ON		116	400	216	200	Extension for reply with			
	SIC FIL		E			117	920	217	460	Extension for reply with			
Large Entity Fee Fe		all Entity Fee	Fee Description		Fee Paid	118	1,440	218	720	Extension for reply with			
Code (\$					reerasu	128	1,960	228	980	Extension for reply with			
101 74	40 201	370	Utility filing fee	1		119	320	219	160	NOOP OF PAP	FH2		
106 33	30 206	165	Design filing fe	ee		120	320	220	160	OF GINALTIMA			
107 51	10 207	255	Plant filing fee			121	280	221	140	Request for oral hearing			
108 74		370	Reissue filing f			138	1,510	138		Petition to institute a p		ng	
114 16	60 214	80	Provisional filin	ng fee		140	110	240	55	Petition to revive - una			
	SUBTOTAL (1) (\$)				141	1,280	241	640	Petition to revive - unii				
2 50	FDA OL	A /34 F				142	1,280 460	242 243	640 230	Utility issue fee (or reis Design issue fee	ssue)		
2. EXT	ra cl	ANN FE	Claims	Fee from below	Fee Paid	144	620	244	310	Plant issue fee			<u> </u>
Total Claims	30	٦	x		100.00	122	130	122	130	Petitions to the Comm	issioner		
Independent Claims	3	:	- x			123	50	123	50	Prosessing fee under			
Multiple Depend	dent	_				126	180	126	180	Submission of Informa		mt	
Large Entity	Sma	# Entity		·		581	40	581	40	Recording each paten	t assignment per		
Fee Fee		Fee	Fee Description							property (times number	er of properties)		<u></u>
Code (5) 103 18		(5) 9	Claims in excess	e of 20		146	740	246	370	Filing a submission aft (37 CFR § 1.129(a))	er final rejection		
102 84		42		ims in excess of 3	1	149	740	249	370				
104 280	204	140	Multiple Depend	lent claim, if not pa	aid	470	=			examined (37 CFR § 1	,	=\	
109 84	209	42	**Reissue indeper patent	endent claims ove	r original	179 169	740 900	279 169	370 900	Request for Continued Request for expedited	•	=)	
110 18	210	9		s in excess of 20 a	and over	103	900	"03	900	of a design application			
original patent						Other fe	ee (specify)						
SUBTOTAL (2) (\$)													
**or number previously paid, if greater, For Reissues, see below							d by Basic Filir	ng ⊦ee Pa	MCI		SUBTOTAL (3)	(\$)	130.00
SUBMIT		-							Comp	lete (if applica	able)		
Name (Prin		1	ld S. Tamu	 Ira			Registration		2	43,179	Telephone	(714) 55	2004
The state of the state of	40.00	A.M.	J. 5. 1 am	7 1 -		1 (4	Attomey/Age	ent)	1 '	73,177	, diapilone	(114) 33	, 5000

WARNING: Information on this form may becom public. Cr dit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEB 2 8 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known						
Application Number	10/034,699					
Filing Date	December 27, 2001					
First Named Inventor	James C. Matayabas, Jr.					
Examiner Name	Unassigned					
Group/Art Unit	1714					
Attorney Docket No.	42390P10938X	_				

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)						
Check ☐ Credit card ☐ Money ☐ Other ☐ None					3. AI	3. ADDITIONAL FEES						
Check Credit card Corder Other None					Large	Entity	Smal	II Entity				
- Sobour verroug					Fee	Fee	Fee	Fee	-			
Depos Accou				02-2666			Code	(5)	Code	(\$)	Fee Description	Fee Paid
Numbe				02-2000			105	130	205	65	Surcharge - late filing fee or oath	130.00
Depos							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Accou Name	unt B	lakely,	, Sok	oloff, Taylor	& Zafman	LLP	139	130	139	130	Non-English specification	
The Comm	ilssioner	r is autho	rized to	: (check all that ap	oply)		147	2,520	147	2,520	For filing a request for ex parte reexamination	
Charg	je fee(s) i	ndicated I	below	⊠ Cred	dit any overpayme	ents	112	920*	112	920 *	'Requesting publication of SIR prior to Examiner action	
	e any add	ditional fe	e(s) duri	ing the pendency of	f the application		113	1.840 °	113	1 840 *	Requesting publication of SIR after	
	-			xcept for the filing			''3	1,040	'''	1,040	Examiner action]
	above-id						115	110	215	55	Extension for reply within first month	
		FE	E CA	LCULATION	4		116	400	216	200	Extension for reply within second month	
1. E	BASIC	FILIN	G FE	E			117	920	217	460	Extension for reply within third month	
Large En	tity	Small i	Entity				118	1,440	218	720	Extension for reply within feed PAPERS	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	128	1,960	228	980	Extension for reply within to RIGUNALLY FILED	
101	740		370	I tiliha filipa for			119	320	219	160	Notice of Appeal	1
101	330	201	165	Utility filing fee Design filing fee		 	120	320	220	160	Filing a brief in support of an appeal	
107	510	207	255	Plant filing fee		\vdash	121	280	221	140	Request for oral hearing	
108	740	208	370	Reissue filing fee	9		138	1,510	138	1,510	Petition to institute a public use proceeding	
114	160	214	80	Provisional filing			140	110	240	5 5	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)				141	1,280	241	640	Petition to revive - unintentional				
			308	OIAL(I)	(\$)		142	1,280	242	640	Utility issue fee (or reissue)	
2. E	:XTR/	CLA	IM FE	ES _{Extra}	Fee from		143	460	243	230	Design issue fee	
				Claims	below	Fee Paid	144	620	244	310	Plant issue fee	
Total Claim Independer		30	. "	- X	=		122	130	122	130	Petitions to the Commissioner	
Claims	L	3	•	* X	=		123	50	123	50	Prosessing fee under 37 CFR 1.17(q)	
Multiple De	pendent			[=		126	180	126	180	Submission of Information Disclosure Stmt	
Large Ent		Small E					581	40	581	40	Recording each patent assignment per property (times number of properties)	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			146	740	246	370	Filing a submission after final rejection	
103	18	203	9	Claims in excess of	of 20			_			(37 CFR § 1.129(a))	
102	84	202	42	Independent claim			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	1
104	280	204	140	Multiple Depender			179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims over original patent				169	900	169	900	Request for expedited examination				
110 18 210 9 "Reissue claims in excess of 20 and over onginal patent						nd over	Other fe	e (specify)	ı		of a design application	
SUBTOTAL (2) (\$)					t i							
**or number previously paid, if greater, For Reissues, see below					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)				130.00			
		,	- , , , , ,	. 0								

Complete (if applicable) SUBMITTED BY Registration No. (714) 557-3800 Name (Print/Type) Ronald S. Tamura 43,179 Telephone (Attorney/Agent) 02/15/02 Signature

WARNING: Information on this form may become public. Credit card information should not b included on this form. Provide credit card information and authorization on PTO-2039.